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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anna H. DYSON et al.

Title: CONCENTRATING TYPE

SOLAR COLLECTION AND DAYLIGHTING SYSTEM WITHIN GLAZED BUILDING

**ENVELOPES** 

Appl. No.: Unassigned

Filing Date: April 2, 2004

Examiner: Unassigned

Art Unit: Unassigned

## **ASSERTION OF SMALL ENTITY STATUS**

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27(c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Respectfully sublifficed

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone:

(202) 672-5569

Facsimile:

(202) 672-5399

Stephen B. Maebius Attorney for Applicant

Registration No. 35,264

#### **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

CONCENTR	ATING TYPE SOLAR COLLECTION WITH DAYLIGHTING SYSTEM WITHIN GLAZED BUILDING ENVELOPES
	(Attorney Docket No. 047182-0128)
the specification of	which (check one)
<u>_X</u>	is attached hereto.
<del></del>	was filed on as United States Application Number or PCT International Application Number and was amended on (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of

America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?
		_		

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date
60/475,002	June 3, 2003

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY & LARDNER LLP:

GEORGE C. BECK	Reg. No.	38,072
STEPHEN A. BENT	Reg. No.	29,768
BETH A. BURROUS	Reg. No.	35,087
ALAN I. CANTOR	Reg. No.	28,163
WILLIAM T. ELLIS	Reg. No.	26,874
JOHN J. FELDHAUS	Reg. No.	28,822
MICHAEL D. KAMINSKI	Reg. No.	32,904
MARY MICHELLE KILE	Reg. No.	35,217
KENNETH E. KROSIN	Reg. No.	25,735
GLENN LAW	Reg. No.	34,371
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STEPHEN B. MAEBIUS	Reg. No.	35,264
BRIAN J. MC NAMARA	Reg. No.	32,789
RICHARD C. PEET	Reg. No.	35,792
GEORGE E. QUILLIN	Reg. No.	32,792
ANDREW E. RAWLINS	Reg. No.	34,702

BERNHARD D. SAXE	Reg. No.	28,665	
CHARLES F. SCHILL	Reg. No.	27,590	
RICHARD L. SCHWAAB	Reg. No.	25,479	
MICHELE M. SIMKIN	Reg. No.	34,717	
HAROLD C. WEGNER	Rea No	25 258	

to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith.

I request that all correspondence be directed to:

Stephen B. Maebius FOLEY & LARDNER LLP Washington Harbour 3000 K Street, N.W., Suite 500 Washington, D.C. 20007-5143

Telephone:

(202) 672-5569

Facsimile:

(202) 672-5399

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of first inventor	Anna H. DYSON
Residence	U.5.
Citizenship	US CANADA (AD)
Post Office Address	c/o Rensselaer Polytechnic Institute OTC 110 8 <sup>th</sup> Street Troy, NY 12180-3590
Inventor's signature	A Town
Date	3/31/04

Name of first inventor	MICHAEL K. JENSEN	
Residence	Truy NY	
Citizenship	U.S.	
Post Office Address	c/o Rensselaer Polytechnic Institute OTC 110 8th Street  Troy, NY 12182-3580	
Inventor's signature	Michael	
Date	3/3/04/	
Name of first inventor	David N. BORTON	
Residence		
Citizenship	U.S.	
Post Office Address	c/o Rensselaer Polytechnic Institute OTC 110 8 <sup>th</sup> Street Troy, NY 12180-3590	
Inventor's signature		
Date		

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C	ONCENTRA	TING TYPE SOLAR COLLECTION WITH DAYLIGHTING SYSTEM WITHIN GLAZED BUILDING ENVELOPES
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inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

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Citizenship	U.S.
Post Office Address	c/o Rensselaer Polytechnic Institute OTC 110 8 <sup>th</sup> Street Troy, NY 12180-3590
Inventor's signature	
Date	

Name of first inventor	Michael K. JENSEN
Residence	
Citizenship	U.S.
Post Office Address	c/o Rensselaer Polytechnic Institute OTC 110 8 <sup>th</sup> Street Troy, NY 12180-3590
Inventor's signature	•
Date	
Name of first inventor	David N. BORTON
Residence	
	- J Hill top Board Tray NY 12180
Citizenship	U.S.
Post Office Address	c/o Rensselaer Polytechnic Institute OTC 110 8 <sup>th</sup> Street Troy, NY 12180-3590
Inventor's signature	David M Box tons
Date	5 April '04